Docket No. 220303US0X PCT

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Denis-Claude ROY, et al.

SERIAL NO: New US PCT application based on PCT/CA00/01142 GAU:

FILED: Herewith EXAMINER:

FOR: RHODAMINE DERIVATIVES FOR PHOTODYNAMIC DIAGNOSIS AND TREATMENT



## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMIS	SSIONER	FOR	PATENT	S
WASHINGTON, D.C.	20231			

SIR:

Applicant(s) wish to disclose the following information.

# . REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check is attached in the amount required under 37 CFR §1.17(p).

## **CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

### DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Morman F. Oblon

Registration No. 24,618

Surinder Sachar

Registration No. 34,423

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 10/01)

Form PTO 1449 (Modified)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  ATTY DOCKET NO.  220303US0X PCT			SERIAL NO.  New US PCT application based on PCT/CA00/01142				
	DE55			APPLICANT				
LISTOF	REFE	RENCES CITED BY APP	LICANI	<del></del>	laude RO			
				FILING DATE  Herewith		GROUP		
				U.S. PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS		G DATE ROPRIATE
	AA							
	AB		<u> </u>					
	AC		<u> </u>					
	AD	<u> </u>						
	AE		<u> </u>	ļ				
	AF	<u> </u>	ļ	<b></b>				
	AG		<u> </u>					
	АН		ļ	<u> </u>				
	Al		<u> </u>					
	AJ		<u> </u>					
	AK	<b>_</b>	ļ	<del> </del>		<b> </b>	L	
	AL	ļ	ļ	ļ				
	AM	<b></b>	<del> </del>	ļ	4	ļ		
	AN	<u> </u>	<u> </u>	<u> </u>			L	
			FO	REIGN PATENT DOCUMENTS				
		DOCUMENT NUMBER	DATE	COUNTRY		TRANSLATION YES NO		
	AO	93 00005	01/07/93	wo				No
	AP	96 07431	03/14/96	wo				No
	AQ							
	AR							
	AS							
	AT							
	AU							
	AV	<u> </u>	<u> </u>	<u> </u>		<u> </u>		
		OTHER REF	ERENCES (	Including Author, Title, Date, Pertine	nt Pages, e	tc.)		
	AW		RŪM FUER E	insplantatabstossung nach photodynam EXPERIMENTELLE UND KLINISCHE F				sprothesen"
	AX	M. GUIMOND (1) et al.: "Specific elimination of anti-host T cell alloreactivity using a photodynamic approach"						
	AY	N. BRASSEUR et al.: "Eradication of mulitple myeloma and breast cancer cells by TH9402-mediate photodynamic therapy: implication for clinical ex vivo purging of autologous stem cell transplants" PHOTOCHEM. PHOTOBIOL., 72(6), pages 780-787 2000					mic therapy:	
	ΑZ	Prabir PAL et al.: "Phototoxicity of some bromine-substituted rhodamine dyes: synthesis, photophysical properties and application as photosensitizers" PHOTOCHEM. PHOTOBIOL., 63(2), pages 161-168 1996			et(s) attached			
Examiner					Date Cor	nsidered		
				t citation is in conformance with MPEP with next communication to applicant.		ne through	citation if no	ot in

10/088072 13 Rec'd PCT/PTO 20 MAR 2002 SHEET 2 OF 2

Form PTO 1449	U.S. DEPARTMENT OF COMMERCE	ATTY DOCKET NO.	SERIAL NO.			
(Modified)	PATENT AND TRADEMARK OFFICE	220303US0X PCT	New US PCT application based on PCT/CA00/01142			
		APPLICANT				
LIST OF RE	FERENCES CITED BY APPLICANT	Denis-Claude ROY, et al.				
		FILING DATE	GROUP			
		Herewith				
	OTHER REFERENCES	Including Author, Title, Date, Pertinent Page	s, etc.)			
A	Luc VILLENEUVE: "Ex vivo photodynamic purging in chronic myelogenous leukemia and other neoplasias with rhodamine AAA derivatives"					
	BIOTECHNOL. APPL. BIOCHEM., 3	0(1' photochemotherapy: a treatment for organ gra	t rejection"			
A	THERAPEUTIC PHERESIS, vol. 1, 1	no. 2, pages 121-125	(Tejecuon			
A	С					
A	D					
A	E					
A	F					
A	G					
A	н					
A	N					
A	n l					
A	к					
A	L					
A	м					
A	N					
A	0					
A	Р					
A	a					
Examiner		Date	Considered			
	if reference is considered, whether or no not considered. Include copy of this form	t citation is in conformance with MPEP 609; Dra	w line through citation if not in			